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1 with some devices. It could be possible to do an 2 intervention and -- and radiation at the same time. Ι 3 think that's a possibility, certainly. Not with every 4 device. 5 MR. BROWN: Q. Well, am I correct that, when 6 you use the word "treatment," that refers at least to 7 the use of an interventional device to reduce stenosis 8 in an artery? 9 Α. Generally, that's what I mean, yes. 10 And when you use the term "post-treatment," 0. 11 you're referring to the use of a device to prevent or 12 minimize what's called restenosis? 13 That's correct. yes. Α. 14 0. So the purpose of the treatment is to, in 15 effect, open the blockage in the artery, and the purpose 16 of the post-treatment is to try to minimize any 17 subsequent -- a reduction in the diameter of the artery? 18 Α. That's correct. 19 Now, in your patent, what role does the amount Q. 20 of radiation released in the treatment area play in 21 achieving the goal of post-treatment? 22 MR. TEIXEIRA: Rob, I would caution you, 23 before you answer, to read the patent. 24 THE WITNESS: Well, do we -- I don't -- we do 25 talk about control at some point in here, but I ---Hess claim construction page 31 1 MR. TEIXEIRA: Why don't you take a look at 2 the patent again. 3 THE WITNESS: Well, I have to... 4 MR. TEIXEIRA: Unless --5 MR. BROWN: Q. You're certainly welcome to refer to the patent, Mr. Hess, but I'm -- I'm asking the 6 question really based on your experience with using 7 devices that include radioactive elements or material. 8 9 Okay. In that context, I think -- I haven't Α. found it back. That's a term that translates from 10 another language. In this setting, one would be 11 concerned about the amount of radiation because you do 12 not want to provide large amounts of radiation that will 13 14 kill cells, because, unlike soft tissue oncology procedures, you're dealing with a -- a pressure vessel, 15

16 a very critical pressure vessel, a tubular organ that 17 has pressure within it --18 You're referring to the artery? 0. 19 The artery, and it's pulsating, so it's Α. 20 subject to fatigue, and if you kill enough cells, the 21 artery will rupture, so there's an appreciation that 22 it's somewhat unlike other uses of radiation in nuclear 23 medicine. 24 So are you saying that you have to be 0. 25 concerned about not using too much radiation? Hess claim construction page 32 A. Or too little. 1 2 All right. What happens if you use too little 0. 3 radiation? 4 Α. You get restenosis, presumably, and, in fact, 5 you do. 6 So then what -- what is done to -- to get the 0. 7 appropriate amount of radiation to the artery? 8 Α. Well, there are numerous ways of doing that, 9 and it -- exposure time is one way, and limiting 10 exposure time is -- can be done in many ways, and it will depend on the particular isotope that you're 11 working with and the energy delivered by that particular 12 13 isotope. So are there any structures that -- identified 14 0. 15 in your patent that play a role in ensuring that you get 16 the right amount of radiation to the artery? Yes, some of them contemplate shielding as a 17 Α. 18 means of doing that. 19 Can you identify for me what you're referring 0. 20 to? 21 I'm going to go by the figures, rather than Α. the text. Figure 5 certainly would provide a means of 22 23 shielding, to some degree. It depends on the materials 24 you choose as well. Figure 6 as well. 25 Let's back up a second. 0. Hess claim construction page 33 In Figure 5, what is the shielding, or how is 1 2 it identified? 3 Well, the shield -- there's a shielding effect Α. 4 by this wire wound member when you withdraw the

5 radioactive source inside of it. 6 You're referring to number 50? 0. 7 50, that's correct. Α. 8 0. All right. And then what was the next figure 9 you referred to? 10 Α. I think Figure 6, if I remember the text, and 11 I can go read it, if you like, but I think that's a 12 window --13 MR. TEIXEIRA: Rob, rather than guess, just 14 read the text. 15 THE WITNESS: All right. I've got to look at 16 Figure 6. 17 I think that's a window that closes. 18 There's a -- this window can be opened and 19 closed, presumably, by a cover of some sort, and, 20 depending on the materials chosen, would offer a degree 21 of shielding. 22 MR. BROWN: Q. And by "this window," you're 23 referring to what in Figure 6? 24 Α. Well, it's -- I think it's 66. I'll take a 25 look. Window 66, yes. Hess claim construction page 34 1 All right. Any other structures in your 0. 2 patent, in the figures, that are used to control the amount of radiation to which the artery is exposed? 3 4 MR. TEIXEIRA: Rob, I would ask you to read 5 the patent again. 6 THE WITNESS: Uh-huh. 7 MR. TEIXEIRA: And specifically, if I can 8 speed this up, you might want to look at column 3, from 9 line 15. 10 THE WITNESS: Column 3, line 15, Figure 1. I was just looking at that. 11 12 Yes, I think Figure 1 would, again, depending on the materials you choose, would provide a measure of 13 shielding. 14 15 MR. BROWN: Q. And how would that happen? The -- the dose means is 22, I think, a wound 16 Α. housing 22 within the lesion site...sheath 24. 17 18 Yeah, so the radioactive dose is contained 19 here --20 I'm sorry. The what is contained? Q. 21 MR. TEIXEIRA: You need to refer to the 22 numbers, Rob, for the court reporter.

23 THE WITNESS: The radioactive source -- let me 24 find it again. 25 Housing 22 contains a dose means 30. Okay. Hess claim construction page 35 So this housing contains a dose means 30, and is 1 2 retractable within the sheath, which is, I believe, 10. No, that's the whole thing. 3 4 MR. BROWN: Q. Is the sheath 24? 5 Let's find out. Α. 6 Sheath 24, yeah. 7 All right. **Q**. 8 So it's retractable within that sheath, and, Α. 9 again, depending on the choice of materials, a more or less degree of shielding could be provided. 10 So is the radioactive material contained 11 0. 12 within some structure which is a wire wound housing 22, and then that is retractable within another --13 14 Α. Wire wound housing --15 0. 24. A sheath, and I don't know -- wire wound 16 Α. 17 retractable sheath 24. Yeah, so that's a wire wound 18 retractable sheath 24, so it's -- yeah, it's contained -- the source is contained within a housing, 19 20 and the housing is within the --21 Q. Sheath? 22 Right. Α. 23 So you, in effect, have two layers of 0. insulation in that embodiment? 24 25 Α. No, not really. Not really, because there is Hess claim construction page 36 a window -- window in the sheath that holds the -- I 1 thought there was a window. 2 Is that window cut-out 32? Is that what 3 0. 4 you're referring to? 5 Α. What line is that? 6 Q. Line 3, about -- I'm sorry. Column 3, line 7 38, roughly. 8 I didn't get that far yet. Α. 9 Window cut-out 32. Window cut-out 32, so there's -- the source is within that sheath, but -- but 10 can be exposed by opening the window of 32, or the 11

12 artery can be exposed by opening the window 32. 13 Q. All right. So in -- in Figure 1, how does one 14 control for the amount of radiation that's released to 15 the artery? 16 Α. Can do it in several ways, or it has several 17 components, let's say. With this window closed, the 18 source is shielded to some degree, but -- depending on 19 the isotope, and the particles coming off that isotope, 20 it may be more or less shielded. If it's withdrawn 21 inside the sheath, it is more shielded, and if it's 22 removed from the artery, there is no more radiation, so 23 there are sort of multiple possibilities of limiting the 24 radiation with a device like this. 25 Q. And then, looking at the -- the version of the Hess claim construction page 37 device in Figure 5, how is the amount of radiation 1 2 released to the artery controlled? 3 Again, there's a degree of shielding when you Α. 4 retract it within that sheath, and the time of exposure 5 until you remove the entire device. And then with respect to Figure 6, how does 6 0. one control for the amount of radiation released to the 7 8 artery? 9 There's a -- a closable window that would Α. 10 provide a degree of shielding, and -- and time of exposure, removal of the device. 11 If we look at claim 1 of the '168 patent, can 12 0. 13 you describe for me the sequence of steps that are used 14 as part of the claimed method? 15 You want me to read it to you, or --Α. 16 0. No. Let's just walk through it. Am I correct that the first step is reducing 17 the annular stenosed area within an artery? 18 19 Α. That's what's contemplated here, yes. MR. TEIXEIRA: And again, you're asking this 20 from his point of view as a lay person? 21 MR. BROWN: Right, and the identified 22 23 inventor. MR. TEIXEIRA: That's fine. 24 25 MR. BROWN: Q. So is that first step what Hess claim construction

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8 means. 9 So positioning means can be any means of 10 getting a radioactive source to the lesion. 11 MR. BROWN: Q. Well, that's just -- that's 12 just a recitation of the language out of the claim, 13 isn't it? 14 MR. TEIXEIRA: Object to the form. He's a lay 15 person. 16 MR. BROWN: Well, he can read as well as any 17 of us. 18 MR. TEIXEIRA: That's fine, but he doesn't 19 have to agree to your characterization. 20 THE WITNESS: I just read it. 21 MR. BROWN: Q. Am I correct that what you 22 read is just the same language that appears in the 23 claim? 24 And? I don't know what that means. Α. 25 0. Well, what I want to know is: Where do you Hess claim construction page 42 1 describe any structures that accomplish this -- the 2 purpose of the post-treatment and that are not either a 3 catheter over a guidewire or a device that surrounds a 4 motion wire? 5 And my answer is at line 39, column 2, the Α. 6 summary of the invention. 7 Anything else? Q. 8 Α. No. 9 And am I correct that --0. 10 MR. TEIXEIRA: Rob, why don't you read the 11 patent before you answer that. 12 MR. BROWN: O. Am I correct --13 You mean does it appear elsewhere? I believe Α. it probably does. I think this kind of language -- I 14 haven't memorized this. I never will memorize this, but 15 I think that kind of language exists in the -- in the 16 17 description as well. If you like, I'll go and try and 18 find it. 19 0. Well. I'm interested in what other structure you can identify for me in the patent that accomplishes 20 the purpose of the invention. 21 22 MR. TEIXEIRA: Rob, I would ask you to read 23 the whole patent, then. 24 THE WITNESS: So if we refer to column 4, it's 25 probably starting at line 7, okay, these materials may

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1 be incorporated into and delivered in a solid, liquid, 2 or gaseous form, and the delivery of such forms is 3 considered within the scope of the invention, so that's 4 a description of various other forms -- various forms in 5 which the isotope can be found, and that it can be 6 delivered in those forms. 7 MR. BROWN: Q. Does it tell you how --8 And we don't have a drawing -- and we don't Α. 9 have a drawing of a gaseous isotope or a liquid isotope, but it is claimed within the scope of the invention, and 10 11 the delivery of such forms or the transport of such 12 forms of the isotope would require a positioning means 13 which is operatively connected to them which is 14 appropriate for those forms of the isotope. 15 0. Well, my question is: What is there in column 16 4, line 10 through 12, that tells you what structure is 17 used to accomplish the purpose of the invention? 18 Α. This -- the structure is described as the 19 isotope in any one of those physical forms, and -- and 20 any one of those particular forms of the isotope 21 operatively connected to a positioning means. 22 So what is the structure disclosed at column 0. 4, line 10? 23 24 The structure disclosed here is the form in Α. 25 which the isotope may be delivered or the physical form Hess claim construction page 44 in which the isotope may be delivered to the lesion 1 2 site. 3 Q. That being solid, liquid, or gas? 4 Α. Correct. 5 Q. But does this passage disclose the structure 6 by which those radioactive materials may be delivered? 7 MR. TEIXEIRA: Objection. Asked and answered. 8 THE WITNESS: Not in this paragraph, but that, 9 in combination with the -- the source being operatively connected to a positioning means describes -- I'm not 10 11 sure of the question I'm answering anymore -- describes 12 other devices, basically. 13 MR. TEIXEIRA: Counsel, whenever it's time for 14 a bathroom break...

15 MR. BROWN: Q. So, again, you're referring 16 back to the language in the claims for the --17 Well, I'm not done yet. Α. 18 0. All right. 19 Α. There may be more in here, but I'm just 20 saying -- all I'm saying is that describes other forms 21 that aren't disclosed in the figures, or could be 22 incorporated in the figures. 23 0. Well, can you identify any other structure in 24 the patent that accomplishes the purpose of the 25 invention and is not either a catheter over a guidewire Hess claim construction page 45 1 or a device surrounding a motion wire? 2 I think I did that. Α. 3 0. Have you completed your review of the patent? No, I think I've done that by saying it could 4 Α. 5 be in these forms, and it has to be operatively 6 connected in any of those forms to a positioning means, 7 any positioning means, any means of operatively 8 connecting it. 9 I'm looking for language in the patent that 0. 10 discloses a structure other than a catheter over a auidewire or a device surrounding a motion wire that 11 accomplishes the purpose of the invention. You've 12 13 identified for me a passage at column 4, lines 10 14 through 12, and a passage at column 2, roughly lines 37 15 to 41. 16 Are there any other passages in the patent --17 I have to read the rest of it to -- to see, Α. 18 but in my mind, that -- that describes a broad array of 19 methods, mechanisms, of aetting an isotope to a lesion 20 site beyond a guidewire or -- or a -- whatever the other 21 term was. 22 All right. And my question, again, is: In Q. 23 addition to those two passages, is there any other 24 passage in the patent --25 I have to -- I have to finish reading the Α. Hess claim construction page 46

patent.
 Q. -- that discloses a structure that
 accomplishes the purpose of your invention and is not

4 either a catheter over a guidewire or a device 5 surrounding a motion wire? 6 And we can take a break now, if you want to. 7 MR. TEIXEIRA: Why don't you finish. We'll 8 come back, and you can finish reading the patent and 9 answer his question. 10 THE VIDEOGRAPHER: This marks the end of tape 11 number 1 in the deposition of Robert Hess. 12 Going off the record. The time is 10:52. 13 (Whereupon, a recess was taken.) 14 THE VIDEOGRAPHER: Back on the record. 15 Here marks the beginning of tape number 2 in 16 the deposition of Robert Hess. The time is 11:09. 17 MR. BROWN: Q. Mr. Hess, let me restate the 18 question that I asked before the break. 19 Can you direct me to any language in the '168 20 patent that discloses a structure other than a catheter 21 over a auidewire or a device surrounding a motion wire 22 that accomplishes the purpose of the invention? 23 You've identified already a passage at column 24 4, lines 10 through 12, and a passage at column 2, 25 roughly lines 37 to 41. Are you able to identify any Hess claim construction page 47 1 additional language in the patent that discloses such a 2 structure? 3 Α. I will have to continue going through the --4 Q. Please do. 5 If we look at column 4, starting at line 66, Α. 6 through column 5, line 7, contemplates different 7 structures as well. 8 0. Does it disclose any structure other than a 9 catheter over a guidewire or a device surrounding a motion wire? 10 11 Well, it says, "Having indicated above Α. preferred embodiments of the present invention, it will 12 13 occur to those skilled in the art that modifications and 14 alternatives can be practiced within the spirit of the 15 invention. It is accordingly intended to define the scope of the invention only as indicated in the 16 17 following claims," and referring back to the previous two references, that means that it is any means of 18 19 delivering a radioactive source, which is either a 20 liquid, a solid, or a gas, operatively connected to a 21 positioning means.

14 claim contemplates advancing an isotope to a -- to the 15 site of a lesion and extracting it from the site of the 16 lesion and the artery using a positioning means which is 17 operatively connected to the dose means, and whatever I can imagine -- whatever way I can imagine doing that is 18 19 within the scope of this claim. 20 0. So in your view, the positioning means for 21 advancing the radioactive material can be different from 22 the positioning means for removing the radioactive

23 material?
24 A. I would like to believe that this is broad
25 enough to allow that if I wanted to do it.

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1 0. What does the -- let me back up. 2 Do you know how a person working in the field 3 of radiation therapy would construe the term 4 "radioactive dose"? 5 MR. TEIXEIRA: Objection. Foundation. 6 THE WITNESS: I can't say that -- there are 7 many ways to measure -- there are several ways to 8 measure radioactive dose. I don't know what the 9 standard is in -- in -- you mean nuclear medicine, 10 oncology, for example? I'm not sure what you mean by 11 that. 12 MR. BROWN: Q. My question is simply how a 13 person working in the field of radiation therapy would 14 construe the term "radioactive dose." 15 MR. TEIXEIRA: I'm going to object. 16 Foundation. 17 MR. BROWN: Q. Do you know? You can tell me. 18 If you don't know, tell me you don't know. 19 No. I don't know. In fact, I mean, you can Α. 20 talk about the emitted dose or the absorbed dose, and 21 I'm not quite sure at this point in time what the 22 convention is. 23 Well, what does the term "radioactive dose" 0. 24 mean to you? 25 It means -- it means a quantity of energy that Α. Hess claim construction page 59

1 is emitted by a radioactive source and -- and/or the 2 effect that that has on some material, the absorbed

3 dose. It can -- it can mean a number -- it can mean a 4 number -- or there are a number of different ways of 5 interpreting or measuring dose, and, in general, you 6 have to choose a -- a parameter that you want to measure 7 and -- and -- and then build your data around that. 8 Well, does -- does it refer to some quantity 0. 9 of radiation? 10 MR. TEIXEIRA: Objection. Asked and answered. THE WITNESS: If it's absorbed dose, it will 11 12 depend on the -- the source, the particle, the energy of 13 that particle, and the material that's being --14 that's -- that's absorbing the dose. It depends on all 15 those things, and the attenuation within -- you have 16 blood in the artery. There will be an attenuation, or 17 you have -- or it's going through the wall of a balloon or something, where there's an attenuation factor. which 18 19 varies with the energy of the particle and the particle 20 type, and it's not a particularly simple thing. 21 MR. BROWN: Q. All right. So can you 22 identify for me the factors that, in your view, impact 23 radiation dose? 24 Α. I think I just did. It's the particle, the 25 energy of the particle, and attenuation of any other Hess claim construction page 60 materials in the way, and the reactiveness of the 1 2 material that is being -- the radiation is being applied 3 to. 4 Q. Does it also depend on the length of exposure? 5 As well as the time, sure. Α. 6 There may be other things that I've missed as 7 well. 8 Now, in what way does a radioactive dose 0. 9 depend on the length of time the tissue is exposed to 10 the radiation? 11 Α. More time, more dose. 12 How does the radioactive dose depend on the 0. 13 level of radioactivity of the material? 14 More particles, more dose, more energy, Α. 15 basically. 16 So the higher the radioactivity of the Q. 17 material, the higher the dose for a given period of 18 time? 19 Not necessarily. It depends also on what's Α. 20 being emitted.

21 0. Well, all other things being equal. 22 Yes. I mean, if you're talking about where it Α. 23 is in its series of decay, yes, a given isotope, yes, 24 that's correct. 25 0. And does the dose also depend on whether the Hess claim construction page 61 1 radioactive material is a solid, liquid, or aas? 2 Α. It may. 3 0. Does it -- does the dose depend on whether the 4 radiation emitted is alpha, beta, or gamma? 5 Clearly, it does, and in conjunction with Α. 6 other factors. 7 What is the difference between alpha, beta, 0. 8 and gamma radiation? 9 Alpha is a very large particle. It's -- is Α. 10 easily absorbed, easily shielded. It would probably not 11 be a good choice in this setting because generally you 12 have enough fluid around that you probably wouldn't get 13 any dose through the artery wall. Beta is essentially 14 an electron, has medium penetration, depending on the 15 energy of the particle, has significant mass, so that it gives up energy pretty readily. Gammas are extremely 16 17 penetrating, and tend to be used in areas where either the -- the material to be irradiated is guite thick or 18 19 you can expect a lot of attenuation due to interstitial 20 materials. 21 0. Now, according to the '168 patent, does it 22 matter whether you use alpha, beta, or gamma radiation? 23 MR. TEIXEIRA: Object to the form. 74 THE WITNESS: Fundamentally, it shouldn't 25 matter, but one or the other form will generally be Hess claim construction page 62 found to be more desirable, given the structure and what 1 2 you're trying to do and half-life of the isotope, and at 3 the end of the day, you want to deliver a certain amount 4 of energy to the stenosed region to -- to prevent 5 proliferation of the cells, and getting the energy there 6 depends on a -- a large number of factors. 7 MR. BROWN: Q. Well, then, how, according to 8 the patent, does one control for the amount of radiation

9 that's released to the area of reduced stenosis?

10 MR. TEIXEIRA: Objection. Asked and answered. THE WITNESS: The patent doesn't tell you how 11 12 to do that. It tells you you should do that, I believe. 13 MR. BROWN: O. Am I correct that it is 14 important to control the amount of radiation released to 15 the area of reduced stenosis? 16 Α. In -- in my belief, yes. 17 0. And that's in order to ensure that you get the 18 desired response in terms of minimizing restenosis? 19 Α. Without causing damage. The -- the issue is 20 to get enough -- sufficient radiation to the site to 21 control the smooth muscle cells, but without causing 22 significant damage to the artery. Now, does the term "radiation" or "radioactive 23 0. 24 dose" imply anything about which radioactive material is 25 used?

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It implies that a radioactive source is 1 No. Α. 2 used. 3 0. Does the term "radioactive dose" imply 4 anything about the form of the material, that is, 5 whether it's solid, liquid, or qas? 6 Not necessarily, no. Α. 7 0. Does the term "radioactive dose" imply 8 anything about the shape of the material? 9 Not necessarily, no. Α. 10 Do you see the term, quote, radioactive dose Q. means, end quote, in claim 1 of the '168 patent? 11 12 In which line? Α. 13 Q. Claim 1. 14 Claim 1, "advancing a radioactive dose means," Α. 15 ves. All right. What does the term, quote, 16 Q. 17 radioactive dose means, end quote, mean in the context 18 of claim 1? A. It means -- it means something capable of 19 20 emitting radioactivity. 21 **0.** What's the function of the radioactive 22 material that's part of the radioactive dose means? 23 To -- to emit radiation. Α. 24 Q. What's the purpose of emitting the radiation? 25 To deliver a certain amount of energy to the Α.

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1 stenosed region. 2 Q. And what is the purpose of delivering a 3 certain amount of energy to the stenosed region? 4 MR. TEIXEIRA: Objection. Asked and answered. 5 6 THE WITNESS: To control the proliferation of smooth muscle cells. 7 MR. BROWN: 0. What are the possible 8 materials disclosed in the patent that can be used to 9 perform the function of releasing radiation? 10 MR. TEIXEIRA: Rob, I'll ask you again to make 11 sure that you read the patent. 12 THE WITNESS: I know it's in here somewhere. 13 MR. BROWN: Q. I can direct you to column 4, 14 lines 7 through 9. 15 Column 4, 7 through 9. Α. 16 MR. TEIXEIRA: I think you would also want to 17 look at the lines preceding that. 18 THE WITNESS: And the question is: What are 19 the --20 MR. BROWN: Q. The question is: What are the possible materials disclosed in the patent that can be 21 22 used to perform the function of releasing radiation? Well, if you take the isotopes that are listed 23 Α. 24 there together with radioactive materials, including but 25 not limited to, it's anything that's radioactive. Hess claim construction page 65 1 All right. Now, you refer specifically to 0. 2 Radon 222; am I correct? 3 Α. Yes. What form of radiation is that, or radioactive 4 Q. 5 material? 6 Α. I don't know. I don't remember what form 7 that's found in. 8 Do you remember whether it emits alpha, beta, Q. 9 or gamma radiation? 10 Α. No, at this point. 11 What about Gold 198? Is it alpha, beta, or Q. 12 gamma? 13 The same answer. I don't -- I don't recall at Α. 14 this time. 15 Q. What about Strontium 90? Do you remember 16 whether it's alpha, beta, or gamma?

17 Α. I don't recall at this time, no. 18 0. What about Radium 192? Do you remember 19 whether it's alpha, beta, or gamma? 20 Α. I don't recall. 21 0. What about Iodine 125? Do you remember 22 whether it's alpha, beta, or gamma? 23 Α. No, I don't recall. 24 0. Do you remember which of these radioactive 25 materials are solid, which are liquid, and which are Hess claim construction page 66 1 qas? 2 Α. No, I don't recall at this time. 3 Q. What are the possible forms of the radioactive 4 material disclosed in the patent? 5 Do you mean solid, liquid, or gas, or -- yes. Α. 6 I'm sorry. Did you --7 Let me try to clarify. Q. 8 Am I correct that the forms of radioactive 9 material disclosed in the patent are solid, liquid, and 10 gas? 11 Α. They may be. I don't -- I don't know what 12 natural forms those are found in, but the contemplation 13 is that they may be incorporated into or delivered in a 14 solid, liquid, or gas, not that they're found in those 15 natural forms necessarily. 16 Now, looking at the figures in the patent, can 0. 17 vou tell me the form of the radioactive element 18 disclosed in each of the figures? 19 Let me see about Figure 3. Α. 20 So what is the question, now? What are we 21 answering here? What am I answering? 22 MR. TEIXEIRA: Do you need the question read 23 back? 24 THE WITNESS: Yeah. 25 MR. BROWN: Q. I'll state the question again. Hess claim construction page 67 Can you tell me the form of the radioactive 1 2 element disclosed in each of the figures of the '168 3 patent? 4 Α.

4 A. Well, I suppose there are certainly, in the
 5 case of the stent, there are multiple possibilities. In

20 Q. So does the phrase, quote, radioactive dose 21 means, end quote, say anything about the possible 22 materials that could be used? 23 A. Yes. It says it has to be a radioactive 24 material. 25 Other than that, does it tell you anything Q. Hess claim construction page 74 about the type of radioactive material? 1 2 No. it's not defined. Α. Does the phrase, quote, radioactive dose 3 0. 4 means, end quote, tell you anything about the possible 5 forms of the radioactive material? 6 A. The patent tells you about the potential 7 forms. That phrase doesn't. 8 Q. Does the phrase, quote, radioactive dose 9 means, end quote, tell you anything about the possible shapes of the radioactive material? 10 11 Α. I don't think so. 12 Again, I'm just thinking, is there an isotope that -- that has to be in a particular shape to 13 14 function? I don't think that's the case, guite 15 honestly. It may be. I'm not aware of it. Q. So is it accurate to say that the phrase, 16 17 radioactive dose means, end quote, doesn't tell you anything about the kind of radioactive material, the 18 form of the radioactive material, or the shape of the 19 20 radioactive material? 21 MR. TEIXEIRA: Objection. Form. THE WITNESS: It doesn't define it, but it 22 23 tells you that it's a radioactive material, and it's my belief that you can, within the scope of this patent, it 24 can be in any of the forms of solid, liquid, or gas, and 25 Hess claim construction page 75 it can be any radioactive material which has sufficient 1 2 properties to accomplish the -- the control of the 3 smooth muscle cells, and it can be in any form which is appropriate, and that's called design engineering, I 4 5 quess. You have to consider all those things. 6 MR. BROWN: Q. But am I correct that the phrase itself, quote, radioactive dose means, end quote, 7 8 tells you none of those things?

15 ---000---16 AFTERNOON SESSION 1:17 P.M. 17 THE VIDEOGRAPHER: This marks the beginning of 18 tape number 3 in the deposition of Robert Hess. The 19 time is 1:17. 20 EXAMINATION BY MR. BROWN (RESUMED): 21 MR. BROWN: Q. Mr. Hess, I want to talk with 22 you about the term or phrase, quote, positioning means, 23 end quote, as that phrase is used in the '168 patent. Let's look at the use of that phrase in the '168 patent. 24 25 Could you look first at column 2, lines 38 to 41. Hess claim construction page 92 1 Do you see at that location the use of the 2 phrase, "positioning means"? 3 Α. Yes, I do. 4 Does the use of that phrase at column 2, lines 0. 5 38 to 41, shed any light on how that phrase should be 6 construed? Does it tell you there what "positioning 7 means" is intended to mean? 8 It's not defined, no. Α. 9 Would you please look at column 3, lines 33 0. through 35, and tell me whether you see a reference to 10 "positioning means" at that cite. 11 12 Yes, I do. Α. 13 Am I correct that the patent reads, at that 0. cite, quote, A radioactive dose means 30 is movable by 14 15 advancing or retracting catheter shaft 26 which may be 16 referred to as a positioning means, end quote? 17 Α. I see that, yes. 18 Q. So how is "positioning means" defined in that 19 sentence? 20 "Positioning means" is defined -- a Α. 21 positioning means is defined as a catheter shaft in 22 that -- in that sentence, which also implies that there 23 are other positioning means, because that is a 24 positioning means. 25 0. All right. Would you please look at column 4, Hess claim construction page 93 lines 17 through 20, and tell me whether you see a 1 2 reference to "positioning means" at that cite.

3 A. A device including "a positioning means 52

4 which is a motion wire providing slidable motion of the 5 radioactive dose means." 6 So you just read from the patent? 0. 7 Α. That's what I read, yes, from the patent. 8 All right. So am I correct that, at column 4. 0. 9 lines 17 through 20, the positioning means is defined as 10 a motion wire? 11 That's correct. Α. 12 Is the term or phrase "positioning means" used 0. 13 in the patent to describe anything other than a catheter shaft or a motion wire? And now I'm referring to the 14 15 written description portion of the patent. 16 Α. I don't know. 17 0. Well, would you please take a look. I've 18 tried to identify for you the places where I found the 19 term, but for you to be satisfied, feel free to take a 20 look. 21 Have you had an opportunity to read through 22 the patent, Mr. Hess? 23 Yes, I have. Α. 24 0. All right. Let me rephrase my question or 25 repeat my question.

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1 Α. Yes. 2 Is the phrase "positioning means" used in the 0. 3 written description portion of the patent to describe 4 anything other than a catheter shaft or a motion wire? 5 MR. TEIXEIRA: Objection to form. 6 THE WITNESS: Well, I'd refer you in -- I 7 would refer you to column 5, lines 3 through 7, "Having 8 indicated above preferred embodiments of the present 9 invention. it will occur to those skilled in the art 10 that modification and alternatives can be practiced 11 within the spirit of the invention. It is accordingly 12 intended to define the scope of the invention only as 13 indicated in the following claims." 14 MR. BROWN: Q. All right. My question again, is: Is the phrase, "positioning means," is that phrase 15 16 used in the written description portion of the patent to 17 describe anything other than a catheter shaft or a 18 motion wire? 19 A. And my answer is: When you take those two 20 references and couple that with the last paragraph 21 before the claims, that is the sum total of the

22 definition of "positioning means." 23 0. All right. And would you agree that that last 24 paragraph before the claims says nothing about -- or 25 does not use the term "positioning means"? Hess claim construction page 95 Α. The words are not there, "positioning means." 1 2 Q. Now, do you have any reason to think that a 3 person of ordinary skill in the art would think of a 4 fluid when reading the term "positioning means"? 5 Α. I believe that's possible, yes. 6 Q. Why? 7 Α. Because there are numerous -- numerous 8 examples where fluids or gases have been used to 9 position things. 10 0. Are there any in the patent? Well, what I meant by that is there are 11 Α. 12 numerous examples in other fields where that's been 13 done. 14 Q. Are there any in the patent? 15 Refer you again to column 5, 3 through 7. Α. 16 Q. And again, that paragraph doesn't use the term 17 "positioning means"; correct? 18 Α. The words are not there. 19 Is there any other language in the written 0. description portion of the patent that you'd point to to 20 support a construction of the phrase "positioning means" 21 22 to include a -- a fluid? 23 MR. TEIXEIRA: I would ask that you read the 24 patent again. 25 THE WITNESS: Sorry? Hess claim construction page 96 1 MR. TEIXEIRA: I'd caution you to read the 2 patent before answering the question. 3 THE WITNESS: The question is? I'm sorry. 4 Again. 5 MR. TEIXEIRA: Can you read back the question, 6 please, Leigh? 7 MR. BROWN: I'll restate it. 8 Other than the last paragraph preceding the 0. 9 claims, is there any other language in the written 10 description portion of the patent that you'd point to to

support a construction of the phrase "positioning means" 11 12 to include the use of a fluid? 13 Refer you to, again, to column 4, 9 through Α. 14 12, where the radioactive source can be incorporated 15 into or delivered in a solid, liquid, or gaseous form, 16 together with the last paragraph before the claims. 17 But with respect to column 4, lines 8 through Q. 18 10, would you agree that that language is directed to 19 the different forms that the radioactive material may 20 take, that is, solid, liquid, or gas? 21 Α. And the delivery of such forms. We're not 22 talking about just the forms it can take. We can say --23 it says that can be "incorporated into or delivered in a 24 solid, liquid, or gaseous form, and the delivery of such 25 forms is considered to be within the scope of the Hess claim construction page 97 1 subject invention." 2 All right. Q. 3 Α. "Delivered" means, to me, "delivered." 4 Well, let's see if we can break it down. Q. 5 The language, quote, these materials may be 6 incorporated into or delivered in a solid, liquid, or 7 gaseous form, end quote --8 Right. Α. 9 Q. -- would you agree that that language is 10 directed to the different forms that the radioactive 11 material may take? 12 Α. I don't think it limits it at all. 13 Does it say anything about how it's delivered? 0. 14 Yes, it does. It says it's delivered in a Α. 15 liquid, solid, or gaseous form. The radioactive material is delivered in a 16 0. 17 liquid, solid, or gaseous form; correct? Or delivered in a liquid, solid, or a gas. 18 Α. Well, the language says, quote, these 19 Q. materials may be incorporated into or delivered in a 20 21 solid, liquid, or gaseous form, end quote; correct? 22 Α. Uh-huh. 23 0. And the "form" in that sentence is referring 24 to the form of the radioactive material, isn't it? 25 MR. TEIXEIRA: Object to the form of the

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1 question. 2 THE WITNESS: But it also says that it -- that 3 it's delivered in that form, which -- which means --4 which means it's brought to the site of the lesion in --5 in that form. 6 MR. BROWN: Q. The radioactive material is 7 brought to the site of the lesion in a solid, liquid, or 8 gaseous form; correct? 9 Α. And -- and it can be delivered in a solid, 10 liquid, or a gas. 11 Q. Where does it say that? 12 That's what it says to me. Α. 13 The phrase, "these materials," would you agree Q. 14 that that is referring to the radioactive materials? 15 Α. Yes. 16 So when the sentence reads, quote, These 0. materials may be incorporated into or delivered in a 17 18 solid, liquid, or gaseous form --19 Α. Uh-huh. 20 -- end quote, am I correct that the form 0. 21 that's being referred to is the form of the radioactive 22 materials? 23 MR. TEIXEIRA: Objection. Asked and answered. 24 THE WITNESS: No, that's -- that's really not 25 correct, because that implies that it is the natural Hess claim construction page 99 1 state of the radioactive material, and this means --2 what this means is that you can take a radioactive 3 material which is, for example, not in a liquid form, 4 and put it in a liquid, and deliver it that way, and 5 that, taken with the last paragraph before the claims, 6 would certainly allow you to do that. 7 MR. BROWN: Q. So you're construing the term 8 "form" to refer to not only the form of the materials, 9 but to the -- the manner in which the materials are 10 delivered? 11 MR. TEIXEIRA: Objection. I don't believe he 12 testified to that. 13 THE WITNESS: Sorry. I didn't hear that. 14 MR. TEIXEIRA: I said objection, you didn't 15 say that. 16 MR. BROWN: Q. Is that what you're 17 testifying?

18 A. Try that again.

Q. Are you contending that the use of the word "form" at column 4, lines 8 through 10, refers not only to whether the radioactive material is a solid, liquid, or gas, but also to the manner in which the radioactive material is delivered to the treatment site?

A. Or the vehicle, taken together with the last paragraph before the claims.

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1 Q. So you're saying "form," in -- at column 4, 2 lines 8 through 10, is not limited to the form of the 3 material, the radioactive material?

A. I think we've said that before. It doesn't
necessarily matter if the radioactive material is found
in its natural state, in a solid or a liquid or a gas,
because what this contemplates is you could take
radioactive material in any form and combine it with a
solid, a liquid, or a gas, and deliver it.

Q. But my question is whether this sentence
addresses at all the manner of delivery, or does it only
refer to the form of the radioactive material?

A. It says delivered, so I think it addresses -taken together with the last paragraph before the
claims, I think it certainly means the manner in which
it's delivered, yes.

MR. TEIXEIRA: Are we talking about the
complete sentence, or up to gaseous form, comma?
MR. BROWN: Q. We're talking about, quote,
These materials may be incorporated into or delivered in
a solid, liquid, or gaseous form, end quote.

22 Correct, Mr. Hess?

23 A. Uh-huh.

24 MR. TEIXEIRA: For the record, that's not the 25 complete sentence.

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THE WITNESS: Well, let's see what the
 complete sentence says.
 MR. BROWN: Counsel, you have continually,
 throughout this deposition, engaged in improper
 objections, and if you continue to do so, we're going to
 take it to the judge because --

7 MR. TEIXEIRA: Be my quest. 8 MR. BROWN: -- you know very well that your 9 objections have been improper --10 MR. TEIXEIRA: They have not. 11 MR. BROWN: -- your speaking objections 12 throughout. 13 MR. TEIXEIRA: If you have a problem with it 14 we can go to the Court, but all I'm saying is that your 15 question does not incorporate the complete sentence. 16 MR. BROWN: There was no reason for that 17 comment, Counsel. My question was clear. You're simply 18 trying to coach the witness. 19 MR. TEIXEIRA: I'm not trying to coach the 20 witness, and I resent that, and if you have a problem, 21 you can take it to the Court. 22 Rob, you can go ahead and answer the question. 23 Is there a pending question, Leigh? 24 (Record read as follows: "Q. We're talking 25 about, quote, These materials may be incorporated into Hess claim construction page 102 1 or delivered in a solid, liquid, or gaseous form, end 2 quote. 3 "Correct, Mr. Hess? 4 "A. Uh-huh.") 5 THE WITNESS: So is there a question? I'm 6 sorry. 7 MR. BROWN: Q. My question is whether the 8 sentence, quote -- or portion of the sentence, quote, 9 These materials may be incorporated into or delivered in 10 a solid, liquid, or gaseous form, end quote, addresses 11 the form of the radioactive material, or addresses the 12 manner in which it's delivered, or both. 13 MR. TEIXEIRA: Objection to form, and asked 14 and answered. 15 THE WITNESS: I think -- I think that both, 16 when taken in the context of the requirement of a 17 positioning means and the last paragraph before the 18 claims. 19 MR. BROWN: Q. I'm not sure I understood your 20 answer, Mr. Hess. 21 What did you mean by taken in the context of 22 positioning means? 23 Well, we're talking about in -- we're talking Α. 24 about delivery, we're talking about positioning means,

25 and we're talking about modification and alternatives

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1 could be practiced within the spirit of the invention, 2 so I think, if you put all of those things together, you 3 get distinctly that possibility. 4 But my question was directed to the portion of 0. 5 the sentence which reads, quote, These materials may be 6 incorporated into or delivered in a solid, liquid, or 7 gaseous form, end quote. 8 Is it your contention that the word "form" in 9 that portion of the sentence refers both to the form of 10 the radioactive material and the manner in which that 11 radioactive material is delivered to the treatment site? 12 MR. TEIXEIRA: Objection to the form of the 13 question. 14 THE WITNESS: It is my contention that it may 15 be both. 16 MR. BROWN: Q. Now, your counsel apparently 17 wanted to bring to your attention the fact that the 18 sentence goes on to read, quote, and the delivery of 19 such forms is considered to be within the scope of the subject invention, end quote. 20 21 Do you see that portion of the sentence? 22 I do. Α. 23 0. Is that portion of the sentence directed to 24 the form of the radioactive material? 25 MR. TEIXEIRA: Objection to the form of the Hess claim construction page 104 1 question. 2 MR. BROWN: Let me rephrase the question. 3 In that portion of the sentence which reads, 0. 4 quote, and the delivery of such forms is considered to 5 be within the scope of the subject invention, end quote, 6 what is being referenced when using the phrase, quote, 7 such forms, end quote? 8 Α. I'm not sure I understand the question. I 9 mean --10 What does "such forms" refer to in that part 0. 11 of the sentence? 12 Α. It means the -- it means the -- it means 13 the -- the vehicle in which the -- again, we're not

14 talking about the natural states of the radioactivity. 15 so if they're incorporated into a liquid, solid, or gas, 16 then that liquid, solid, or gas becomes -- can become 17 the vehicle that delivers the radioactive material to 18 the -- the site of the lesion. 19 0. So are -- are you talking about the situation 20 where the radioactive material itself is the medium used 21 to deliver the material to the treatment site? 22 No. I distinctly said that wasn't necessary, Α. 23 that the radioactivity -- the radioactive material does 24 not have to exist in a liquid, gaseous, or solid state 25 to be put into that medium and delivered. It's not a Hess claim construction page 105 1 necessary requirement that it have the same state as the 2 medium into which you're putting it. 3 So if we look at the portion of the sentence 0. 4 which reads, quote, and the delivery of such forms is 5 considered to be within the scope of the subject 6 invention, end quote, you construe the reference to. 7 quote, such forms, end quote, to include a reference to 8 the manner in which the radioactive material is 9 delivered? 10 Α. It could, yes. 11 Did you ever envision using a fluid to move a 0. 12 radioactive element to the site of stenosis? 13 Α. Yes. 14 0. When? 15 Α. I don't recall. 16 Do you have any documents that show you, in 0. 17 fact, possessed the idea of using a fluid to transport 18 the radioactive material to the site of stenosis? 19 Α. I don't know. 20 What -- what do you regard as being the 0. 21 function of the positioning means? 22 Α. The function of the positioning means is to 23 get the radioactive source to the site of the lesion 24 and -- and to remove it. 25 0. Claim 1 of the '168 patent uses the phrase Hess claim construction page 106

1 "operatively connected."

2 A. Yes.